

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q92709

Masahiko ISHIDA, et al.

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: January 17, 2006

Examiner: Not Yet Assigned

For: METHOD FOR FIXING METAL PARTICLES AND METHOD FOR  
MANUFACTURING SUBSTRATE CONTAINING METAL PARTICLES, METHOD  
FOR MANUFACTURING SUBSTRATE CONTAINING CARBON NANOTUBE, AND  
METHOD FOR MANUFACTURING SUBSTRATE CONTAINING  
SEMICONDUCTOR-CRYSTALLINE ROD, EMPLOYING THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby  
notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached  
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem  
material to patentability of the claims of the above-identified application.

1. Japanese Patent Publication No. 2003-168745, published June 13, 2003 (with English  
Abstract).

2. Japanese Patent Publication No. 2002-285335, published October 3, 2002 (with  
English Abstract).

INFORMATION DISCLOSURE STATEMENT  
National Stage Entry of PCT/JP2004/010301

3. Japanese Patent Publication No. 11-139815, published May 25, 1999 (with English Abstract).
4. Japanese Patent Publication No. 2002-139467, published May 17, 2002 (with English Abstract).
5. Japanese Patent Publication No. 2003-158093, published May 30, 2003 (with English Abstract).
6. Japanese Patent Publication No. 2002-29717, published January 29, 2002 (with English Abstract).
7. Japanese Patent Publication No. 10-167893, published June 23, 1998 (with English Abstract).
8. N. I. Maksimova, et al. "PREPARATION OF NANOSCALE THIN-WALLED CARBON TUBULES FROM A POLYETHYLENE PRECURSOR," Carbon, Vol. 37, No. 10, 1999.

One copy of each of the listed documents is submitted herewith, except for those listed in the International Search Report. It is assumed that copies of the cited references from the International Search Report will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
National Stage Entry of PCT/JP2004/010301

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents Applicant submits the International Search Report which cites reference Nos. 2-7 and indicates the degree of relevance found by the Foreign Office. Applicant also states that above reference No. 1 is discussed within the specification, beginning at page 3, line 15.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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Date: January 17, 2006

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| Substitute for Form 1449 A & B/PTO<br><br><b><u>INFORMATION DISCLOSURE</u></b><br><b><u>STATEMENT BY APPLICANT</u></b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <i>Complete if Known</i> |                  |
|  |   |    |   | Application Number       | Not Yet Assigned |
|  |   |    |   | Confirmation Number      | Not Yet Assigned |
|  |   |    |   | Filing Date              | January 17, 2006 |
|  |   |    |   | First Named Inventor     | Masahiko ISHIDA  |
|  |   |    |   | Art Unit                 | Not Yet Assigned |
|  |   |    |   | Examiner Name            | Not Yet Assigned |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | Q92709           |

| U.S. PATENT DOCUMENTS |                          |                 |  |                                |   |
|-----------------------|--------------------------|-----------------|--|--------------------------------|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                          | Number          | Kind<br>Code <sup>2</sup><br><i>(if known)</i> |                                |   |
|                       |                          | US              |  |                                |   |
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| FOREIGN PATENT DOCUMENTS |                          |                              |                     |   |                                |  |                          |
|--------------------------|--------------------------|------------------------------|---------------------|---|--------------------------------|--|--------------------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br><i>(if known)</i> |                                |  |                          |
|                          |                          | JP                           | 2003-168745         | A   | 06-13-2003                     | NAT'L INST OF ADVANCED...                          | Abstract                 |
|                          |                          | JP                           | 11-139815           | A   | 05-25-1999                     | CANON INC.   | Abstract                 |
|                          |                          | JP                           | 2002-139467         | A   | 05-17-2002                     | MITSUBISHI ELECTRIC CORP.                          | Abstract                 |
|                          |                          | JP                           | 2003-158093         | A   | 05-30-2003                     | NIPPON TELEGRAPH                                   | Abstract                 |
|                          |                          | JP                           | 2002-29717          | A   | 01-29-2002                     | HITACHI LTD.                                       | Abstract                 |
|                          |                          | JP                           | 10-167893           | A   | 06-23-1998                     | SONY CORP.   | Abstract                 |
|                          |                          |                              |                     |   |                                |  | Abstract                 |
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| NON PATENT LITERATURE DOCUMENTS |                          |  |                          |
|---------------------------------|--------------------------|--|--------------------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|                                 |                          | N. I. Maksimova, et al. "PREPARATION OF NANOSCALE THIN-WALLED CARBON TUBULES FROM A POLYETHYLENE PRECURSOR," Carbon, Vol. 37, No. 10, 1999.  |                          |
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| Examiner Signature | Date Considered |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.